

8-29-01

PTO/SB/05 (11-00)

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 08/27/01  
 3882 U.S. PTO

# UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No.		032580.0018.UTL
First Inventor		Bardy, et al.
Title	POWER SUPPLY FOR AN IMPLANTABLE SUBCUTANEOUS CARDIOVERTER- DEFIBRILLATOR	

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Express Mail Label No. EL573394850US

<b>APPLICATION ELEMENTS</b> See MPEP chapter 600 concerning utility patent application contents.		<b>ADDRESS TO:</b> Assistant Commissioner for Patents Box Patent Application Washington, DC 20231	
1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing) 2. <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. 3. <input checked="" type="checkbox"/> Specification (preferred arrangement set forth below) [Total Pages <b>89</b> ] - Descriptive title of the invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure 4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets <b>14</b> ] 5. Oath or Declaration [Total Pages <input type="text"/> a. <input type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63 (d)) (for continuation/divisional with Box 18 completed) i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). 6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76	7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) 8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identity of above copies		
<b>ACCOMPANYING APPLICATION PARTS</b>			
9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s)) 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney (when there is an assignee) 11. <input type="checkbox"/> English Translation Document (if applicable) 12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations 13. <input type="checkbox"/> Preliminary Amendment 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized) 15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed) 16. <input type="checkbox"/> Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. 17. <input checked="" type="checkbox"/> Other: Application Cover Sheet			

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

<input type="checkbox"/> Continuation	<input type="checkbox"/> Divisional	<input checked="" type="checkbox"/> Continuation-in-part (CIP)	of prior application No.: 09/663,607 and 09/663,606
Prior application information		Examiner	Group Art Unit: 3737

For CONTINUING OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number or Bar Code Label	23865 (insert Customer No. or Attach bar code label here)	or	<input checked="" type="checkbox"/> Correspondence address below
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Signature	Jonathan L. Pettit		Date 8/27/2001

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**TABLE I**

Sample	Time	Temp.	Pressure	Yield (%)	Viscosity	Infrared	NMR
1	10 min	150°C	1 atm	85	0.5	-	-
2	10 min	175°C	1 atm	90	0.6	-	-
3	10 min	200°C	1 atm	95	0.7	-	-
4	10 min	225°C	1 atm	98	0.8	-	-
5	10 min	250°C	1 atm	100	0.9	-	-
6	10 min	275°C	1 atm	100	1.0	-	-
7	10 min	300°C	1 atm	100	1.1	-	-
8	10 min	325°C	1 atm	100	1.2	-	-
9	10 min	350°C	1 atm	100	1.3	-	-
10	10 min	375°C	1 atm	100	1.4	-	-
11	10 min	400°C	1 atm	100	1.5	-	-
12	10 min	425°C	1 atm	100	1.6	-	-
13	10 min	450°C	1 atm	100	1.7	-	-
14	10 min	475°C	1 atm	100	1.8	-	-
15	10 min	500°C	1 atm	100	1.9	-	-
16	10 min	525°C	1 atm	100	2.0	-	-
17	10 min	550°C	1 atm	100	2.1	-	-
18	10 min	575°C	1 atm	100	2.2	-	-
19	10 min	600°C	1 atm	100	2.3	-	-
20	10 min	625°C	1 atm	100	2.4	-	-
21	10 min	650°C	1 atm	100	2.5	-	-
22	10 min	675°C	1 atm	100	2.6	-	-
23	10 min	700°C	1 atm	100	2.7	-	-
24	10 min	725°C	1 atm	100	2.8	-	-
25	10 min	750°C	1 atm	100	2.9	-	-
26	10 min	775°C	1 atm	100	3.0	-	-
27	10 min	800°C	1 atm	100	3.1	-	-
28	10 min	825°C	1 atm	100	3.2	-	-
29	10 min	850°C	1 atm	100	3.3	-	-
30	10 min	875°C	1 atm	100	3.4	-	-
31	10 min	900°C	1 atm	100	3.5	-	-
32	10 min	925°C	1 atm	100	3.6	-	-
33	10 min	950°C	1 atm	100	3.7	-	-
34	10 min	975°C	1 atm	100	3.8	-	-
35	10 min	1000°C	1 atm	100	3.9	-	-
36	10 min	1025°C	1 atm	100	4.0	-	-
37	10 min	1050°C	1 atm	100	4.1	-	-
38	10 min	1075°C	1 atm	100	4.2	-	-
39	10 min	1100°C	1 atm	100	4.3	-	-
40	10 min	1125°C	1 atm	100	4.4	-	-
41	10 min	1150°C	1 atm	100	4.5	-	-
42	10 min	1175°C	1 atm	100	4.6	-	-
43	10 min	1200°C	1 atm	100	4.7	-	-
44	10 min	1225°C	1 atm	100	4.8	-	-
45	10 min	1250°C	1 atm	100	4.9	-	-
46	10 min	1275°C	1 atm	100	5.0	-	-
47	10 min	1300°C	1 atm	100	5.1	-	-
48	10 min	1325°C	1 atm	100	5.2	-	-
49	10 min	1350°C	1 atm	100	5.3	-	-
50	10 min	1375°C	1 atm	100	5.4	-	-
51	10 min	1400°C	1 atm	100	5.5	-	-
52	10 min	1425°C	1 atm	100	5.6	-	-
53	10 min	1450°C	1 atm	100	5.7	-	-
54	10 min	1475°C	1 atm	100	5.8	-	-
55	10 min	1500°C	1 atm	100	5.9	-	-
56	10 min	1525°C	1 atm	100	6.0	-	-
57	10 min	1550°C	1 atm	100	6.1	-	-
58	10 min	1575°C	1 atm	100	6.2	-	-
59	10 min	1600°C	1 atm	100	6.3	-	-
60	10 min	1625°C	1 atm	100	6.4	-	-
61	10 min	1650°C	1 atm	100	6.5	-	-
62	10 min	1675°C	1 atm	100	6.6	-	-
63	10 min	1700°C	1 atm	100	6.7	-	-
64	10 min	1725°C	1 atm	100	6.8	-	-
65	10 min	1750°C	1 atm	100	6.9	-	-
66	10 min	1775°C	1 atm				

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**FEE TRANSMITTAL  
for FY 2001**

Patent fees are subject to annual revision.

**TOTAL AMOUNT OF PAYMENT** (\$)**2005.00****Complete if Known**

Application Number	To be assigned
Filing Date	Herewith
First Named Inventor	Bardy
Examiner Name	To be assigned
Group Art Unit	To be assigned
Attorney Docket No.	032580.0018.UTL

**METHOD OF PAYMENT**

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated

Deposit  
Account  
Number

50-1273

Deposit  
Account  
Name

Brobeck, Phleger &amp; Harrison LLP

- ☒ Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17
- ☒ Applicant claims small entity status. See  
37 CFR 1.27

- 2.
- ☒
- Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other**FEE CALCULATION****1. BASIC FILING FEE**

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	335.00
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	

**SUBTOTAL (1)** (\$)**335.00****2. EXTRA CLAIM FEES**

Total Claims	Extra Claims	Fee from below	Fee Paid
190	-20** = 170	X 9 = 1530.00	
Independent Claims	6	-3** = 3	X 40 = 120
Multiple Dependent			

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2)** (\$)**1650.00**

\*\*or number previously paid, if greater; For Reissues, see above

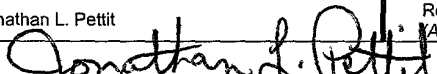
**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1 17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	Filing a submission after final rejection (37 CFR § 1 129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)** (\$)**SUBMITTED BY****Complete (if applicable)**

Name (Print/Type)	Jonathan L. Pettit	Registration No. (Attorney/Agent)	40,770	Telephone	(858) 720-2500
Signature		Date	August 27, 2001		

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